

Disciplinary Actions

Overview

Introduction This guide provides the procedures for entering, approving, modifying, correcting, and deleting disciplinary actions in Direct Access (DA).

Information The Disciplinary Actions component is used to submit Non Judicial Punishment (NJP) results from a Captain's Mast or Courts-Martial conviction of a member. This component is also used to affirm, modify, correct, delete, or vacate a punishment if it is being unsuspended. The Disciplinary Actions component should **NOT** be used if the charges are dismissed or dismissed with warning. Approved Disciplinary Actions will be integrated with the Job Data record and Global Payroll elements to implement the changes to the HR and Pay records as appropriate.

References

- (a) Military Justice Manual, COMDTINST M5810.1H (series)
- (b) Discipline and Conduct, COMDTINST M1600.2 (series)
- (c) Manual for Courts-Martial, United States (2024 Edition)
- (d) Personal & Pay Procedures Manual, PPCINST M1000.2 (series)

Calculating Forfeitures If a Disciplinary Action includes both reduction in grade and forfeiture of pay, the forfeiture must be based on the grade to which the member has been reduced. This is true even if the reduction is suspended. When calculating forfeitures, do not include any future pay raises. All punishments awarded are based on the pay entitlements that are in effect on the date of the Disciplinary Action.

Contents


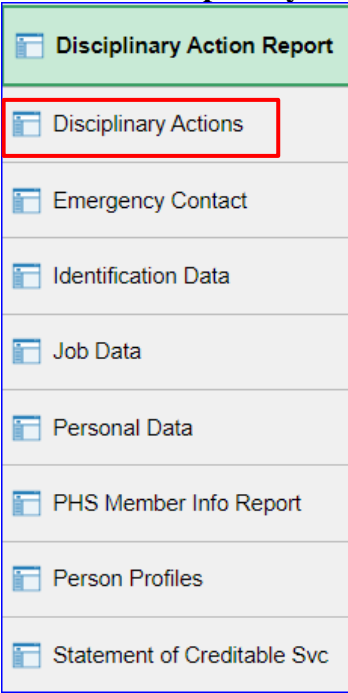
Topic	See Page
Entering a New Disciplinary Action	2
Approving Disciplinary Actions	17
Adding a Disciplinary Step (Affirm, Correction, Deletion, Modified, Vacation) to an Initial Disciplinary Action	23
Disciplinary Action Report (Court Memorandum)	33
Disciplinary Action Effects in Global Pay	38

Entering a New Disciplinary Action

Introduction This section provides the procedures for a SPO to enter a Disciplinary Action in DA.

Incorrect or Missing Offense Codes If a UCMJ code is incorrect or missing in DA, email the incorrect or missing code along with supporting information to HQ Legal at HQS-DG-LST-CG-LMJ@uscg.mil.

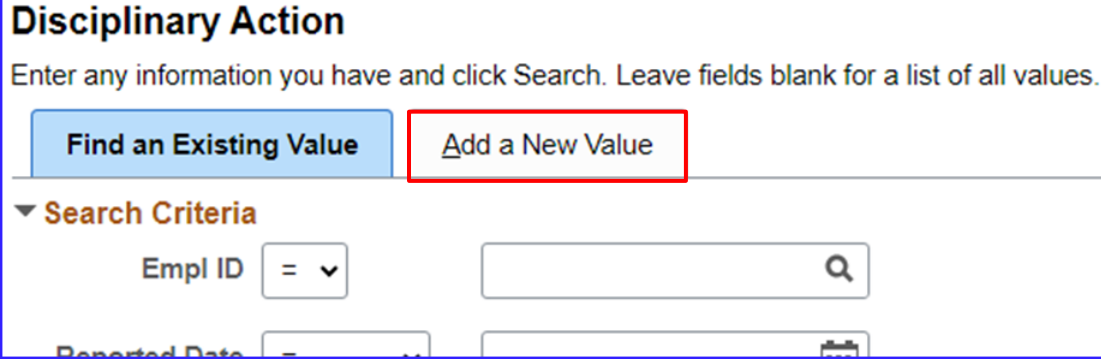
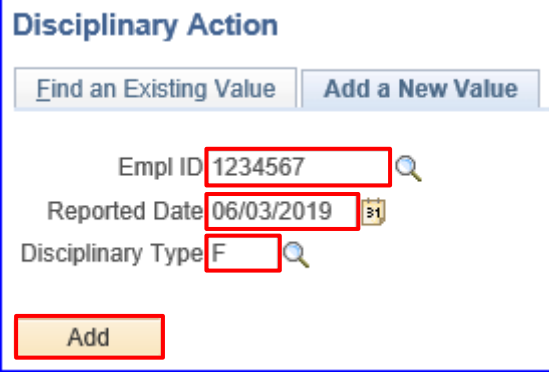

Procedures See below.

Step	Action
1	<p>Click on the Core HR Tile.</p> 
2	<p>Select the Disciplinary Actions option.</p> 

Continued on next page

Entering a New Disciplinary Action, Continued

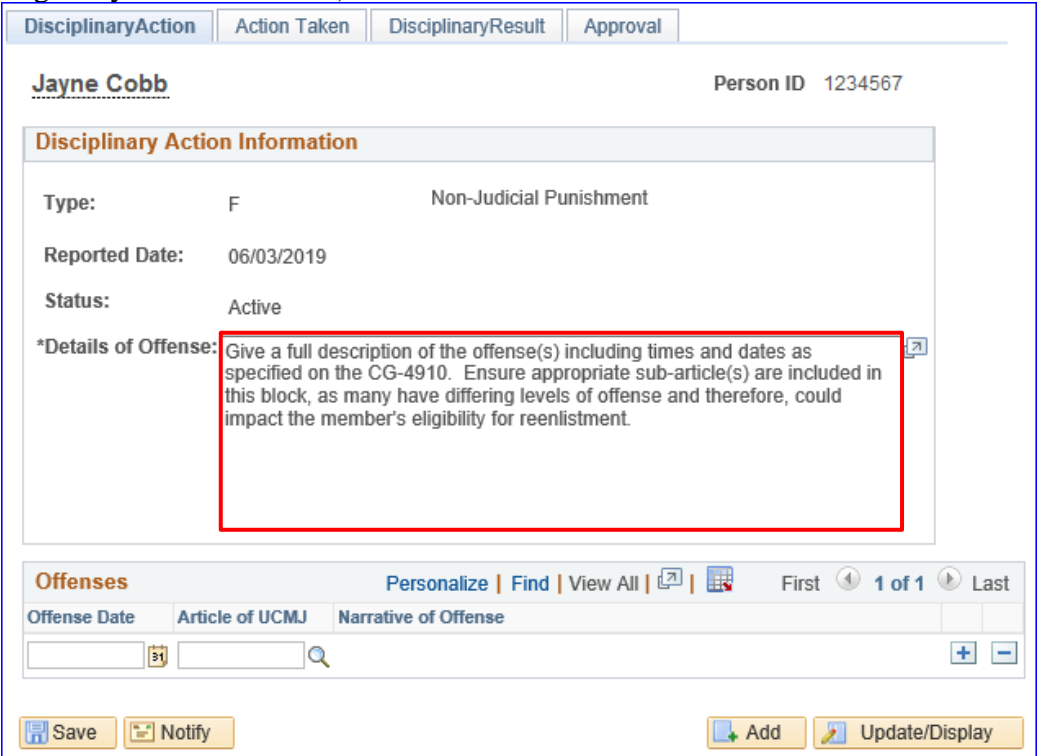
Procedures,
continued

Step	Action														
3	<p>To enter a new Disciplinary Action, click the Add a New Value tab.</p>  <p>Disciplinary Action Enter any information you have and click Search. Leave fields blank for a list of all values.</p> <p>Find an Existing Value Add a New Value</p> <p>▼ Search Criteria</p> <p>Empl ID = [] []</p> <p>Reported Date = [] []</p>														
4	<p>Enter the member's Empl ID. Enter the Reported Date (this date will be the Initial Mast/Court date for this action and cannot be modified inside the component). Using the lookup, select the appropriate Disciplinary Type. Click Add.</p>  <p>Disciplinary Action</p> <p>Find an Existing Value Add a New Value</p> <p>Empl ID 1234567 []</p> <p>Reported Date 06/03/2019 []</p> <p>Disciplinary Type F []</p> <p>Add</p>  <p>Look Up Disciplinary Type [x]</p> <p>Disciplinary Type begins with [] []</p> <p>Description begins with [] []</p> <p>Look Up Clear Cancel Basic Lookup</p> <p>Search Results</p> <p>View 100 First 1-6 of 6 Last</p> <table border="1"> <thead> <tr> <th>Disciplinary Type</th> <th>Description</th> </tr> </thead> <tbody> <tr> <td>A</td> <td>General Courts-Martial</td> </tr> <tr> <td>B</td> <td>Gen Courts Martial-Mil Judge</td> </tr> <tr> <td>C</td> <td>Special Courts-Martial</td> </tr> <tr> <td>D</td> <td>Sp Crt Martial-Mil Judge</td> </tr> <tr> <td>E</td> <td>Summary Courts-Martial</td> </tr> <tr> <td>F</td> <td>Non-Judicial Punishment</td> </tr> </tbody> </table>	Disciplinary Type	Description	A	General Courts-Martial	B	Gen Courts Martial-Mil Judge	C	Special Courts-Martial	D	Sp Crt Martial-Mil Judge	E	Summary Courts-Martial	F	Non-Judicial Punishment
Disciplinary Type	Description														
A	General Courts-Martial														
B	Gen Courts Martial-Mil Judge														
C	Special Courts-Martial														
D	Sp Crt Martial-Mil Judge														
E	Summary Courts-Martial														
F	Non-Judicial Punishment														

Continued on next page

Entering a New Disciplinary Action, Continued


Procedures,
continued

Step	Action
5	<p>A new Disciplinary Action will open. The Type, Reported Date, and Status will auto-populate with the selections made in Step 3.</p> <p>In the Details of Offense field, provide a full description of the offense(s) in which the member was found GUILTY of (even if they were suspended), including the time(s) and date(s) as stated on the CG-4910 for NJP (located under Details of Offenses and Commanding Officer finds) or the Statement of Trial Results for Courts-Martial. NOTE: The offenses will display under the Offense Narrative of the Disciplinary Action Report (Court Memorandum) when printed.</p> <p>Ensure the appropriate sub-article(s) are included within this field (this is VITAL especially when the member is charged under Articles 92 and 134. The specific sub-articles have differing levels of offense and therefore could impact the member's eligibility for reenlistment).</p> 

Continued on next page

Entering a New Disciplinary Action, Continued

Procedures,
continued

Step	Action
6	<p>Enter the Offense Date (this will be the day the offense occurred). NOTE: When there is a range of months, enter the 1st day of the first month stated. For example, if the range of months is January – March, enter 1 Jan 2020 as the Date of Offense.</p> <p>Using the lookup under Article of UCMJ, select the appropriate Articles of the UCMJ. To add multiple offense codes, click the (+) button and search for each offense code. If a code is erroneously selected, click the (-) button for that offense code row.</p> <p>REMEMBER: ONLY select those articles the member was found GUILTY of (even if they were suspended).</p> 

Continued on next page

Entering a New Disciplinary Action, Continued

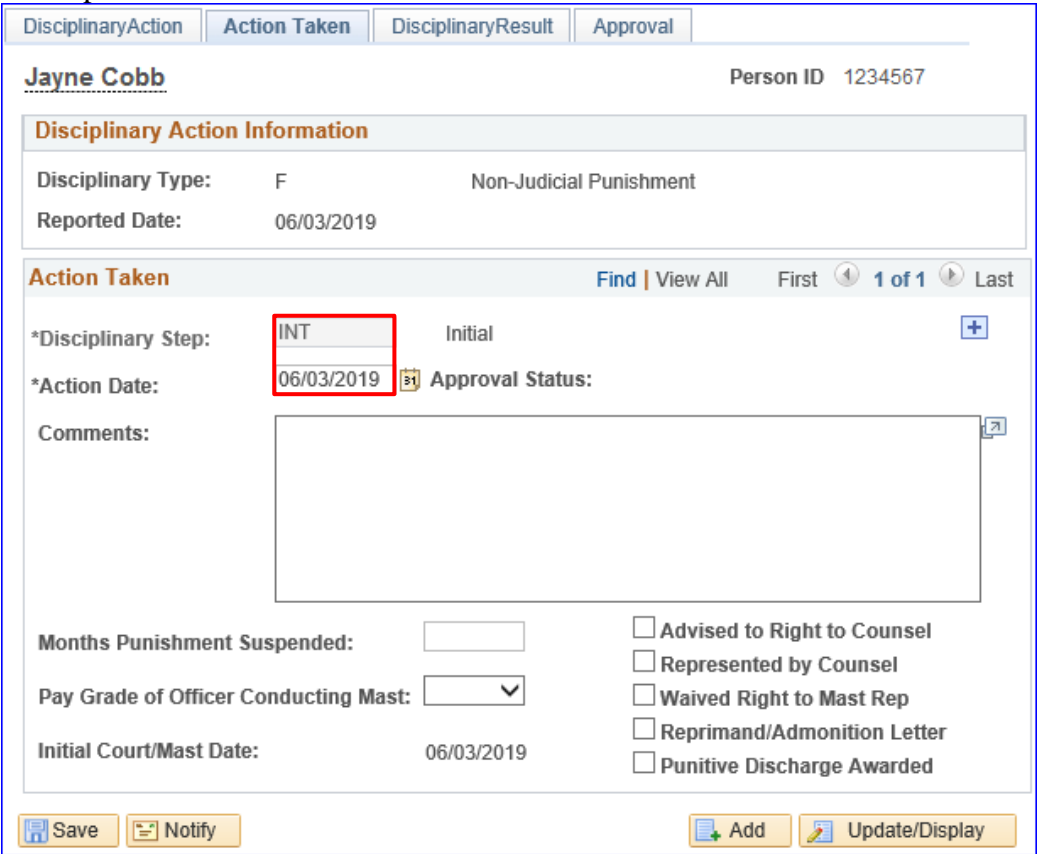
Procedures,
continued

Step	Action																		
7	<p>Once all the Offenses have been entered, click the Action Taken tab.</p> <p>DisciplinaryAction Action Taken DisciplinaryResult Approval</p> <p>Jayne Cobb Person ID 1234567</p> <p>Disciplinary Action Information</p> <p>Type: F Non-Judicial Punishment</p> <p>Reported Date: 06/03/2019</p> <p>Status: Active</p> <p>*Details of Offense: Give a full description of the offense(s) including times and dates as specified on the CG-4910. Ensure appropriate sub-article(s) are included in this block, as many have differing levels of offense and therefore, could impact the member's eligibility for reenlistment.</p> <p>Offenses Personalize Find View All First 1-5 of 5 Last</p> <table border="1"> <thead> <tr> <th>Offense Date</th> <th>Article of UCMJ</th> <th>Narrative of Offense</th> </tr> </thead> <tbody> <tr> <td>05/18/2019</td> <td>114C</td> <td>Firearm discharge; endangering human life</td> </tr> <tr> <td>05/18/2019</td> <td>122AB3</td> <td>Stolen property; concealing - more than \$1000</td> </tr> <tr> <td>05/18/2019</td> <td>134P1</td> <td>Gambling with subordinate</td> </tr> <tr> <td>05/18/2019</td> <td>122AB1</td> <td>Stolen property; receiving - more than \$1000</td> </tr> <tr> <td>05/18/2019</td> <td>108AD</td> <td>Looting or pillaging</td> </tr> </tbody> </table> <p>Save Notify Add Update/Display</p>	Offense Date	Article of UCMJ	Narrative of Offense	05/18/2019	114C	Firearm discharge; endangering human life	05/18/2019	122AB3	Stolen property; concealing - more than \$1000	05/18/2019	134P1	Gambling with subordinate	05/18/2019	122AB1	Stolen property; receiving - more than \$1000	05/18/2019	108AD	Looting or pillaging
Offense Date	Article of UCMJ	Narrative of Offense																	
05/18/2019	114C	Firearm discharge; endangering human life																	
05/18/2019	122AB3	Stolen property; concealing - more than \$1000																	
05/18/2019	134P1	Gambling with subordinate																	
05/18/2019	122AB1	Stolen property; receiving - more than \$1000																	
05/18/2019	108AD	Looting or pillaging																	

Continued on next page

Entering a New Disciplinary Action, Continued

Procedures,
continued

Step	Action
8	<p>The Disciplinary Step will default to Initial (INT) for first time entries. The Action Date defaults to the Reported Date:</p> <ul style="list-style-type: none"> • For General and Special Courts-Martial, the Action Date shall be 14 days after the Reported Date IF there was a reduction in grade and/or forfeitures. • For Summary Courts-Martial and NJP, if reduction in grade or forfeitures are awarded, the Action Date shall be the date on which the sentence is approved by the Convening Authority. NOTE: See COMDTINST M5810.1 (series), Chapter 2 for exceptions.  <p>The screenshot shows a web-based form for entering a disciplinary action. At the top, there are tabs for 'DisciplinaryAction', 'Action Taken', 'DisciplinaryResult', and 'Approval'. The user 'Jayne Cobb' is identified with 'Person ID 1234567'. The 'Disciplinary Action Information' section includes 'Disciplinary Type: F' and 'Non-Judicial Punishment', and 'Reported Date: 06/03/2019'. The 'Action Taken' section has a search bar and '1 of 1' results. The 'Disciplinary Step' is set to 'INT' (highlighted with a red box) and 'Initial'. The 'Action Date' is '06/03/2019'. There are checkboxes for 'Advised to Right to Counsel', 'Represented by Counsel', 'Waived Right to Mast Rep', 'Reprimand/Admonition Letter', and 'Punitive Discharge Awarded'. At the bottom, there are 'Save', 'Notify', 'Add', and 'Update/Display' buttons.</p>

Continued on next page

Entering a New Disciplinary Action, Continued

Procedures,
continued

Step	Action
<p>9</p>	<p>In the Comments field, provide the date and a complete description of the punishment awarded (see Notes 1 & 2). If any of the punishment is suspended, state the terms of the suspension (this field will print on the Disciplinary Action Report (Court Memorandum) under Sentence Narrative). **Ensure the results are detailed in the comments field. If a vacation of the suspended action is processed at a later date and the length of the suspension was not entered here, it becomes a legal issue.**</p> <p>NOTE 1: If there are multiple suspensions (ex: forfeiture of pay suspended for 6 months and reduction to E3 suspended for 12 months), spell it out in the comments and enter the lesser of the months (in this example: 6) in the Months Punishment Suspended field (see step 9).</p> <p>NOTE 2: If the punishments are being served CONCURRENTLY, it is only necessary to enter the LONGEST term and specify concurrent. For example, when entering a Court-Martial and the member was sentenced to 2 months for article 82, 4 months for article 92, and 1 month for violation of article 94 to be served concurrently, enter “4 months of confinement/extra duty/restriction to be served concurrently”; if the punishments are to be served NON-CONCURRENTLY, then enter the TOTAL amount of time. In this example, the total amount of time is 7 months, therefore you would enter “7 months of confinement/extra duty/restriction to be served”.</p> <div data-bbox="311 1115 1262 1895" style="border: 1px solid black; padding: 5px;"> <p>DisciplinaryAction Action Taken DisciplinaryResult Approval</p> <p>Jayne Cobb Person ID 1234567</p> <p>Disciplinary Action Information</p> <p>Disciplinary Type: F Non-Judicial Punishment Reported Date: 06/03/2019</p> <p>Action Taken Find View All First 1 of 1 Last</p> <p>*Disciplinary Step: INT Initial +</p> <p>*Action Date: 06/03/2019 Approval Status:</p> <p>Comments: Provide a complete description of the punishment awarded. If the punishment was suspended, provide the complete terms of the suspension. If there are multiple suspensions, each needs to be detailed.</p> <p>** ENSURE THE COMMENTS ARE DETAILED ** If later doing a vacation of the suspension and the length of the suspension is not entered, it becomes a legal issue.</p> <p>Months Punishment Suspended: <input type="text"/> <input type="checkbox"/> Advised to Right to Counsel Pay Grade of Officer Conducting Mast: <input type="text"/> <input type="checkbox"/> Represented by Counsel Initial Court/Mast Date: 06/03/2019 <input type="checkbox"/> Waived Right to Mast Rep <input type="checkbox"/> Reprimand/Admonition Letter <input type="checkbox"/> Punitive Discharge Awarded</p> <p><input type="button" value="Save"/> <input type="button" value="Notify"/> <input type="button" value="Add"/> <input type="button" value="Update/Display"/></p> </div>

Continued on next page

Entering a New Disciplinary Action, Continued

Procedures,
continued

Step	Action
<p>10</p>	<p>If the punishment was suspended, enter the number of month(s) in the Months Punishment Suspended field (if multiple suspensions, see note in step 8) and select the Pay Grade of Officer Conducting Mast. Check the boxes that are applicable to the Disciplinary Action.</p> <p>NOTE: The Represented by Counsel and Punitive Discharge Awarded fields are only used for Courts-Martial.</p> <div data-bbox="311 645 1350 1496" style="border: 1px solid black; padding: 5px;"> <div style="display: flex; justify-content: space-between; border-bottom: 1px solid black; margin-bottom: 5px;"> DisciplinaryAction Action Taken DisciplinaryResult Approval </div> <p>Jayne Cobb Person ID 1234567</p> <div style="border: 1px solid gray; padding: 5px; margin-bottom: 5px;"> <p>Disciplinary Action Information</p> <p>Disciplinary Type: F Non-Judicial Punishment</p> <p>Reported Date: 06/03/2019</p> </div> <div style="border: 1px solid gray; padding: 5px; margin-bottom: 5px;"> <p>Action Taken Find View All First 1 of 1 Last</p> <p>*Disciplinary Step: INT Initial +</p> <p>*Action Date: 06/03/2019 Approval Status:</p> <p>Comments: <div style="border: 1px solid gray; padding: 5px; margin-top: 5px;"> Provide a complete description of the punishment awarded. If the punishment was suspended, provide the complete terms of the suspension. If there are multiple suspensions, each needs to be detailed. ** ENSURE THE COMMENTS ARE DETAILED ** If later doing a vacation of the suspension and the length of the suspension is not entered, it becomes a legal issue. </div></p> <p>Months Punishment Suspended: <input style="width: 50px;" type="text" value="6"/> <input type="checkbox"/> Advised to Right to Counsel <input type="checkbox"/> Represented by Counsel <input checked="" type="checkbox"/> Waived Right to Mast Rep <input checked="" type="checkbox"/> Reprimand/Admonition Letter <input type="checkbox"/> Punitive Discharge Awarded </p> <p>Pay Grade of Officer Conducting Mast: <input style="width: 50px;" type="text" value="O4"/> v</p> <p>Initial Court/Mast Date: 06/03/2019</p> </div> <div style="display: flex; justify-content: space-between; margin-top: 5px;"> Save Notify Add Update/Display </div> </div>

Continued on next page

Entering a New Disciplinary Action, Continued

Procedures,
continued

Step	Action
11	<p>Click the DisciplinaryResult tab.</p> <div style="border: 1px solid black; padding: 5px;"> <div style="border-bottom: 1px solid black; margin-bottom: 5px;"> DisciplinaryAction Action Taken DisciplinaryResult Approval </div> <p>Jayne Cobb Person ID 1234567</p> <div style="border: 1px solid gray; padding: 5px; margin-bottom: 5px;"> <p>Disciplinary Action Information</p> <p>Disciplinary Type: F Non-Judicial Punishment</p> <p>Reported Date: 06/03/2019</p> </div> <div style="border: 1px solid gray; padding: 5px; margin-bottom: 5px;"> <p>Action Taken Find View All First 1 of 1 Last</p> <p>*Disciplinary Step: <input type="text" value="INT"/> Initial +</p> <p>*Action Date: <input type="text" value="06/03/2019"/> Approval Status:</p> <p>Comments: <div style="border: 1px solid gray; padding: 5px; min-height: 100px;"> Provide a complete description of the punishment awarded. If the punishment was suspended, provide the complete terms of the suspension. If there are multiple suspensions, each needs to be detailed. ** ENSURE THE COMMENTS ARE DETAILED ** If later doing a vacation of the suspension and the length of the suspension is not entered, it becomes a legal issue. </div></p> <p>Months Punishment Suspended: <input type="text" value="6"/> <input type="checkbox"/> Advised to Right to Counsel</p> <p>Pay Grade of Officer Conducting Mast: <input type="text" value="04"/> <input type="checkbox"/> Represented by Counsel</p> <p>Initial Court/Mast Date: 06/03/2019 <input checked="" type="checkbox"/> Waived Right to Mast Rep</p> <p><input checked="" type="checkbox"/> Reprimand/Admonition Letter</p> <p><input type="checkbox"/> Punitive Discharge Awarded</p> </div> <div style="display: flex; justify-content: space-between; margin-top: 5px;"> Save Notify Add Update/Display </div> </div>

Continued on next page

Entering a New Disciplinary Action, Continued


Procedures,
continued

Step	Action
12	<p>Under the Punishment section, enter the number of days awarded for each punishment (even if it was suspended). If none, leave blank.</p> <p>IMPORTANT: If a member (Enlisted or Officer) is awarded Confinement as part of their punishment, Days of Confinement at Hard Labor should be used when there is ANY confinement.</p> <p>Days Arrest in Quarters ONLY applies to Officers – if an officer is awarded Arrest in Quarters, then use Days Arrest in Quarters.</p> <div style="border: 1px solid #ccc; padding: 5px;"> <p>DisciplinaryAction Action Taken DisciplinaryResult Approval</p> <p>Jayne Cobb Person ID 1234567</p> <p>Disciplinary Action Information</p> <p>Disciplinary Type: F Non-Judicial Punishment Reported Date: 06/03/2019</p> <p>Action Taken Find View All First 1 of 1 Last</p> <p>Disciplinary Step: INT Initial Action Date: 06/03/2019 Approval Status:</p> <div style="border: 2px solid red; padding: 5px;"> <p>▼ Punishment</p> <p>Number of Days Restricted: <input type="text" value="14"/> Days Confinement at Hard Labor: <input type="text"/></p> <p>Days Arrest in Quarters: <input type="text"/> Days of Hard Labor WO Confinement: <input type="text"/></p> <p>Number of Days Extra Duty: <input type="text" value="30"/></p> </div> <p>▼ Fines</p> <p>Total Fine Amount: <input type="text"/> <input type="checkbox"/> Execute this Fine Begin Date: <input type="text"/> 31</p> <p>▼ Forfeitures</p> <p>Forfeiture Per Month: <input type="text"/> <input type="checkbox"/> Automatic Forfeiture <input type="checkbox"/> Forfeit All Pay and Allow Forfeiture Months: <input type="text"/> <input type="checkbox"/> Adjudged Forfeiture <input type="checkbox"/> Waive & Pay to Dependents Total Forfeiture Amount: <input type="text"/> <input type="checkbox"/> Execute this Forfeiture Begin Date: <input type="text"/> 31</p> <p>▼ Rate Adjustment</p> <p>Current Jobcode: 420093 First Class Machinery Technici Changed Jobcode: <input type="text"/> <input type="button" value="🔍"/> <input type="checkbox"/> Execute this Rate Adjustment Begin Date: <input type="text"/> 31</p> <p style="text-align: right;"> <input type="button" value="Save"/> <input type="button" value="Notify"/> <input type="button" value="Add"/> <input type="button" value="Update/Display"/> </p> </div>

Continued on next page

Entering a New Disciplinary Action, Continued

Procedures,
continued

Step	Action
13	<p>Fines are for a Courts-Martial only. If a fine was imposed on the member:</p> <ul style="list-style-type: none"> • Total Fine Amount – enter the total fine amount (rounded down to the nearest whole dollar). If only a portion of the fine is suspended and the remaining portion is to be collected, ONLY enter the amount that is to be executed. • Execute this Fine – check this box if the fine is to be executed. Do NOT check the Execute this Fine box if the entire fine is suspended. • Begin Date – will auto-populate with the Action Date if/when the Execute this Fine box is checked (the Begin Date cannot be later than the Action Date of the Disciplinary Step). <div data-bbox="311 719 1353 943" style="border: 1px solid blue; padding: 5px;"> <p>▼ Fines</p> <p>Total Fine Amount: <input style="border: 1px solid red;" type="text" value="500"/> <input checked="" type="checkbox"/> Execute this Fine Begin Date: <input style="border: 1px solid red;" type="text" value="06/03/2019"/> </p> </div>

Continued on next page

Entering a New Disciplinary Action, Continued

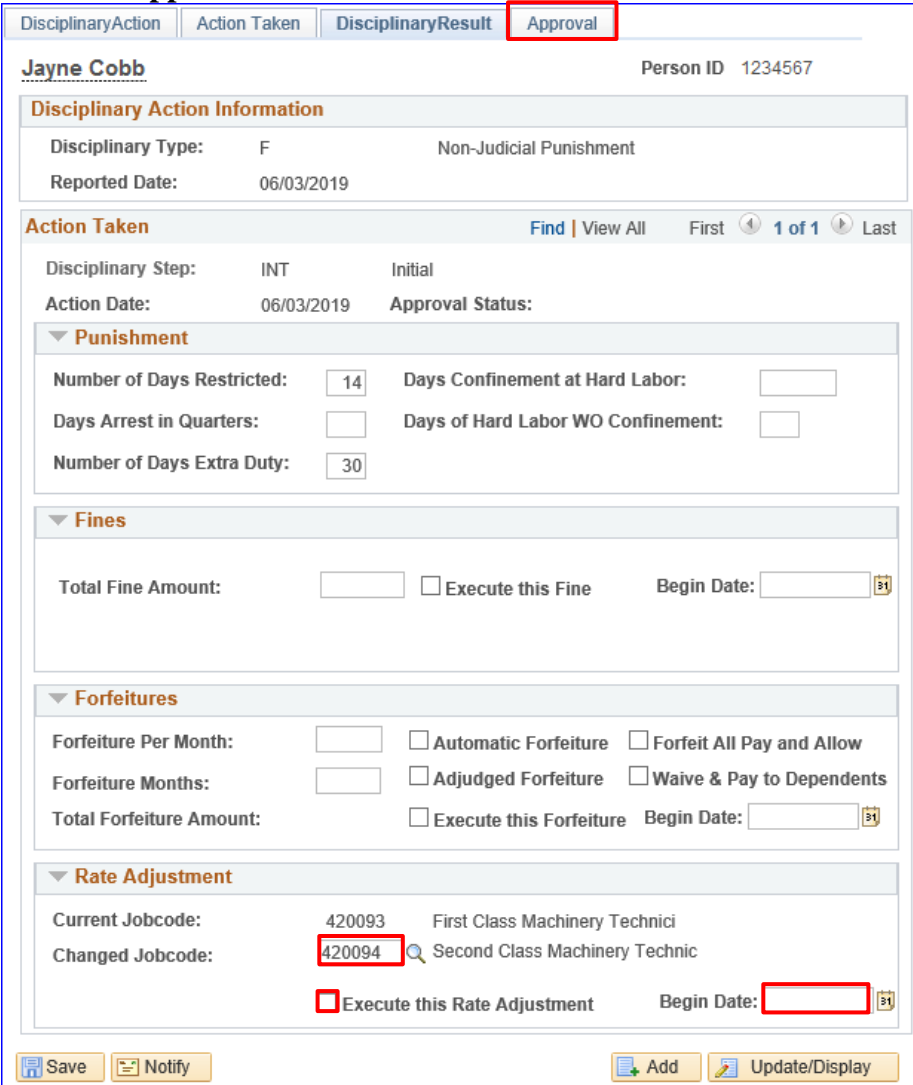
Procedures,
continued

Step	Action												
14	<p>If a forfeiture was imposed on the member:</p> <ul style="list-style-type: none"> ● Forfeiture Per Month – enter the amount (rounded down to the nearest whole dollar). If only a portion of the forfeiture is being executed, then enter ONLY that amount. ● Forfeiture Months – enter the number of months that was imposed in the punishment. Hit the tab key to populate the Total Forfeiture Amount and verify the amount shown is correct. ● Execute this Forfeiture – check this box if the forfeiture is to be executed. Do NOT check the Execute this Forfeiture box if the entire forfeiture is suspended. ● Begin Date – will auto-populate with the Action Date if/when the Execute this Forfeiture box is checked (the Begin Date cannot be later than the Action Date of the Disciplinary Step). ● Check the appropriate Type of Forfeiture – <ul style="list-style-type: none"> ▪ Automatic Forfeiture ▪ Forfeit All Pay and Allowances (submit a PPC Customer Care Ticket – PPC must manually enter the total forfeiture details) ▪ Adjudged Forfeiture ▪ Waive & Pay to Dependents (submit a PPC Customer Care Ticket – PPC must manually enter the payment to dependents) <div style="border: 1px solid #ccc; padding: 5px; margin-top: 10px;"> <p>▼ Forfeitures</p> <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 30%;">Forfeiture Per Month:</td> <td style="width: 15%; border: 1px solid red; text-align: center;">500</td> <td style="width: 15%;"><input type="checkbox"/> Automatic Forfeiture</td> <td style="width: 15%;"><input type="checkbox"/> Forfeit All Pay and Allow</td> </tr> <tr> <td>Forfeiture Months:</td> <td style="border: 1px solid red; text-align: center;">3</td> <td><input type="checkbox"/> Adjudged Forfeiture</td> <td><input type="checkbox"/> Waive & Pay to Dependents</td> </tr> <tr> <td>Total Forfeiture Amount:</td> <td style="text-align: center;">1500</td> <td><input checked="" type="checkbox"/> Execute this Forfeiture</td> <td>Begin Date: 06/03/2019 </td> </tr> </table> </div>	Forfeiture Per Month:	500	<input type="checkbox"/> Automatic Forfeiture	<input type="checkbox"/> Forfeit All Pay and Allow	Forfeiture Months:	3	<input type="checkbox"/> Adjudged Forfeiture	<input type="checkbox"/> Waive & Pay to Dependents	Total Forfeiture Amount:	1500	<input checked="" type="checkbox"/> Execute this Forfeiture	Begin Date: 06/03/2019
Forfeiture Per Month:	500	<input type="checkbox"/> Automatic Forfeiture	<input type="checkbox"/> Forfeit All Pay and Allow										
Forfeiture Months:	3	<input type="checkbox"/> Adjudged Forfeiture	<input type="checkbox"/> Waive & Pay to Dependents										
Total Forfeiture Amount:	1500	<input checked="" type="checkbox"/> Execute this Forfeiture	Begin Date: 06/03/2019										

Continued on next page

Entering a New Disciplinary Action, Continued

Procedures,
continued

Step	Action
15	<p>If the member was awarded a Rate Adjustment:</p> <ul style="list-style-type: none"> • Changed Jobcode – using the lookup, search and select the new job code (even if it was suspended). • Execute this Rate Adjustment – check this box if the Rate Adjustment is to be executed. Do NOT check this box if the Rate Adjustment is suspended. • Begin Date – will auto-populate with the Action Date if/when the Execute this Rate Adjustment is checked (the Begin Date cannot be later than the Action Date of the Disciplinary Step). <p>Click the Approval tab.</p> 

Continued on next page

Entering a New Disciplinary Action, Continued

Procedures,
continued

Step	Action
<p>16</p>	<p>Ensure all the information displayed is correct and verify approval is intended for the Disciplinary Step. Click Submit.</p> <div data-bbox="311 461 1350 1160" style="border: 1px solid #ccc; padding: 10px;"> <div style="display: flex; justify-content: space-between; border-bottom: 1px solid #ccc; margin-bottom: 10px;"> DisciplinaryAction Action Taken DisciplinaryResult Approval </div> <p>Jayne Cobb Person ID 1234567</p> <div style="border: 1px solid #ccc; padding: 5px; margin-bottom: 10px;"> <p>Disciplinary Action Information</p> <p>Disciplinary Type: F Non-Judicial Punishment</p> <p>Reported Date: 06/03/2019</p> </div> <div style="border: 1px solid #ccc; padding: 5px; margin-bottom: 10px;"> <p>Action Taken Find View All First 1 of 1 Last</p> <p>Disciplinary Step: INT</p> <p>Action Date: 06/03/2019</p> <p>Approval Status:</p> <p>Originator ID:</p> <p>Approver ID:</p> <p style="text-align: center;">Submit</p> </div> <div style="display: flex; justify-content: space-between; margin-top: 10px;"> <div style="display: flex; gap: 10px;"> Save Notify </div> <div style="display: flex; gap: 10px;"> Add Update/Display </div> </div> </div>

Continued on next page

Entering a New Disciplinary Action, Continued

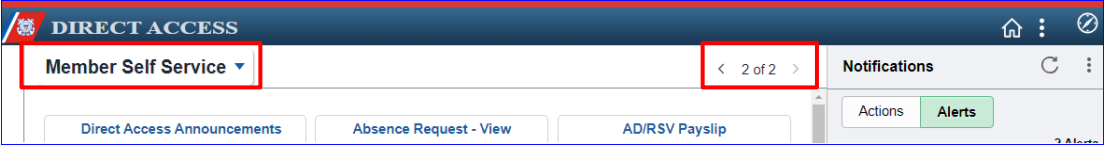
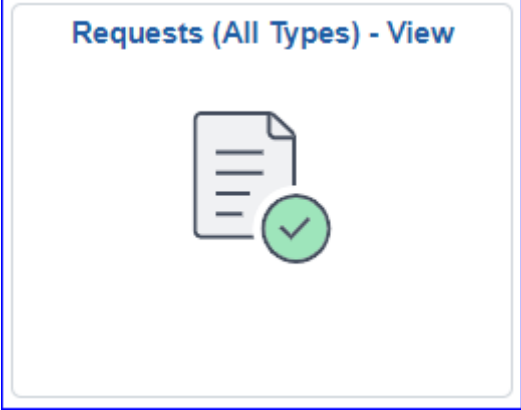
Procedures,
continued

Step	Action
17	<p>The Approval Status will update to Pending and the Originator ID will populate with the submitter's Empl ID and name. The disciplinary action will be routed to the SPO tree for approval.</p> <div data-bbox="312 497 1350 1207" style="border: 1px solid black; padding: 5px;"> <div style="display: flex; justify-content: space-between; border-bottom: 1px solid #ccc; margin-bottom: 5px;"> DisciplinaryAction Action Taken DisciplinaryResult Approval </div> <p>Jayne Cobb Person ID 1234567</p> <div style="border: 1px solid #ccc; padding: 5px; margin-bottom: 5px;"> <p>Disciplinary Action Information</p> <p>Disciplinary Type: F Non-Judicial Punishment</p> <p>Reported Date: 06/03/2019</p> </div> <div style="border: 1px solid #ccc; padding: 5px;"> <p>Action Taken Find View All First 1 of 1 Last</p> <p>Disciplinary Step: INT</p> <p>Action Date: 06/03/2019</p> <p>Approval Status: Pending</p> <p>Originator ID: 7788992 Kaylee Frye</p> <p>Approver ID:</p> <p style="text-align: center;"><input type="button" value="Submit"/></p> </div> <div style="display: flex; justify-content: space-between; margin-top: 10px;"> <div style="display: flex; gap: 10px;"> <input type="button" value="Save"/> <input type="button" value="Notify"/> </div> <div style="display: flex; gap: 10px;"> <input type="button" value="Add"/> <input type="button" value="Update/Display"/> </div> </div> </div>

Approving Disciplinary Actions

Introduction This section provides the procedures for a SPO to approve a Disciplinary Action in DA.

Procedures See below.

Step	Action
1	<p>Navigate to Member Self Service via the drop-down or by page arrows.</p> 
2	<p>Click on the Requests (All Types) - View tile.</p> 

Continued on next page

Approving Disciplinary Actions, Continued

Procedures,
continued

Step	Action																														
<p>3</p>	<p>Select the Requests I am Approver For radio button. The Transaction Name may be left at All Transactions or using the drop-down, select Approve Disciplinary Action. Leave the Transaction Status as Pending. Click Populate Grid.</p> <div data-bbox="312 499 1350 1149" style="border: 1px solid blue; padding: 5px;"> <p>View My Action Requests</p> <p>Inara Serra</p> <ol style="list-style-type: none"> 'My Submitted Requests' allows member to bring up only their Action Requests. 'Requests I am Approver For' allows approver to bring up only those Action Requests submitted to them. 'All Requests' allows the approver to pull up their Action Requests and those submitted to them. Transaction Name field allows user to select a particular transaction (i.e., Absence Request, Delegation, etc.) Refresh button clears the grid and defaults it back to 'My Submitted Requests' and Transaction Status of 'Pending'. Populate Grid button populates the grid based on what was selected for the radio button, Transaction Name, Transaction Status, and what was entered in the Submission From/Submission To Dates. <div style="border: 1px solid #ccc; padding: 5px; margin-top: 10px;"> <p> <input type="radio"/> My Submitted Requests <input checked="" type="radio"/> Requests I am Approver For <input type="radio"/> All Requests </p> <p>Transaction Name: Approve Disciplinary Action ▼</p> <p>Transaction Status: Pending ▼</p> <p>Submission From Date: <input type="text"/> 📅</p> <p>Submission To Date: <input type="text"/> 📅</p> <p style="text-align: right;"> Populate Grid Refresh </p> </div> </div>																														
<p>4</p>	<p>Depending on the Transaction Name selection in Step 2, all pending transactions or just pending Disciplinary Action transactions will populate. Locate the Disciplinary Action transaction that requires approval and click Approve/Deny.</p> <div data-bbox="312 1301 1350 1395" style="border: 1px solid blue; padding: 5px;"> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Transaction Name</th> <th>Status</th> <th>Member</th> <th>Member's Last Name</th> <th>Member's Emplid</th> <th>Member's Deptid</th> <th>Submitted By</th> <th>Approver</th> <th>Submission Date</th> <th>Approve/Deny</th> </tr> </thead> <tbody> <tr> <td>Disciplinary Action Approval</td> <td>Pending</td> <td>Jayne Cobb</td> <td>Cobb</td> <td>1234567</td> <td>000631</td> <td>Kaylee Frye</td> <td>Inara Serra</td> <td>06/04/2019</td> <td style="border: 1px solid red; text-align: center;">Approve/Deny</td> </tr> <tr> <td>Disciplinary Action Approval</td> <td>Pending</td> <td>Malcolm Reynolds</td> <td>Reynolds</td> <td>7788554</td> <td>000196</td> <td>Kaylee Frye</td> <td>Inara Serra</td> <td>05/14/2019</td> <td style="text-align: center;">Approve/Deny</td> </tr> </tbody> </table> </div>	Transaction Name	Status	Member	Member's Last Name	Member's Emplid	Member's Deptid	Submitted By	Approver	Submission Date	Approve/Deny	Disciplinary Action Approval	Pending	Jayne Cobb	Cobb	1234567	000631	Kaylee Frye	Inara Serra	06/04/2019	Approve/Deny	Disciplinary Action Approval	Pending	Malcolm Reynolds	Reynolds	7788554	000196	Kaylee Frye	Inara Serra	05/14/2019	Approve/Deny
Transaction Name	Status	Member	Member's Last Name	Member's Emplid	Member's Deptid	Submitted By	Approver	Submission Date	Approve/Deny																						
Disciplinary Action Approval	Pending	Jayne Cobb	Cobb	1234567	000631	Kaylee Frye	Inara Serra	06/04/2019	Approve/Deny																						
Disciplinary Action Approval	Pending	Malcolm Reynolds	Reynolds	7788554	000196	Kaylee Frye	Inara Serra	05/14/2019	Approve/Deny																						

Continued on next page

Approving Disciplinary Actions, Continued

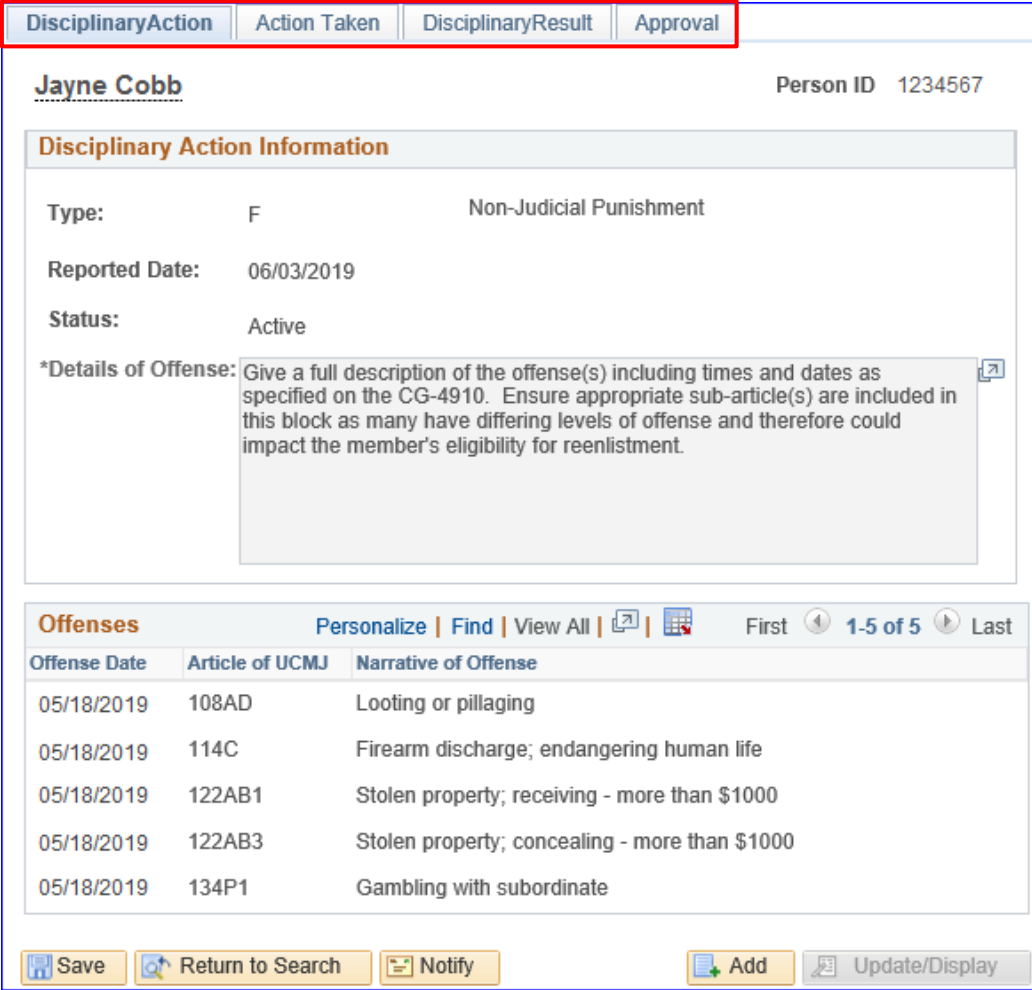
Procedures,
continued

Step	Action
5	<p>The Disciplinary Action request will display. To review the details of the Disciplinary Action, click the hyperlink under Request URL.</p> <div data-bbox="312 461 1350 1397" style="border: 1px solid blue; padding: 10px;"> <p>Action Request</p> <p>Approve Disciplinary Action</p> <p><u>Jayne Cobb</u></p> <div style="border: 1px solid #ccc; padding: 5px; margin-bottom: 10px;"> <p>Request Details</p> <p>Reported Date: 2019-06-03 Disciplinary Type: F Disciplinary Step: INT Action Date: 2019-06-03</p> </div> <div style="border: 1px solid #ccc; padding: 5px; margin-bottom: 10px;"> <p>Request URL</p> <p>Click here to view additional request information.</p> </div> <p>Comment: <input style="width: 100%; height: 40px;" type="text"/></p> <p style="text-align: center;"> <input type="button" value="Approve"/> <input style="margin-left: 100px;" type="button" value="Deny"/> </p> <div style="border: 1px solid #ccc; padding: 5px; margin-bottom: 10px;"> <p>▼ Request Status: Pending</p> <p>Approvers</p> <div style="border: 1px solid #ccc; padding: 5px; margin-bottom: 5px;"> <p>Pending</p> <p> Multiple Approvers CGHRSUP for User's SPO</p> </div> </div> </div>

Continued on next page

Approving Disciplinary Actions, Continued


Procedures,
continued

Step	Action																		
6	<p>The Disciplinary Action will open in a new window. Review each of the tabs to ensure the information entered is correct. 'X' out of the new window to return to the Disciplinary Action request.</p>  <p>Jayne Cobb Person ID 1234567</p> <p>Disciplinary Action Information</p> <p>Type: F Non-Judicial Punishment</p> <p>Reported Date: 06/03/2019</p> <p>Status: Active</p> <p>*Details of Offense: Give a full description of the offense(s) including times and dates as specified on the CG-4910. Ensure appropriate sub-article(s) are included in this block as many have differing levels of offense and therefore could impact the member's eligibility for reenlistment.</p> <p>Offenses Personalize Find View All First 1-5 of 5 Last</p> <table border="1"> <thead> <tr> <th>Offense Date</th> <th>Article of UCMJ</th> <th>Narrative of Offense</th> </tr> </thead> <tbody> <tr> <td>05/18/2019</td> <td>108AD</td> <td>Looting or pillaging</td> </tr> <tr> <td>05/18/2019</td> <td>114C</td> <td>Firearm discharge; endangering human life</td> </tr> <tr> <td>05/18/2019</td> <td>122AB1</td> <td>Stolen property; receiving - more than \$1000</td> </tr> <tr> <td>05/18/2019</td> <td>122AB3</td> <td>Stolen property; concealing - more than \$1000</td> </tr> <tr> <td>05/18/2019</td> <td>134P1</td> <td>Gambling with subordinate</td> </tr> </tbody> </table> <p>Save Return to Search Notify Add Update/Display</p>	Offense Date	Article of UCMJ	Narrative of Offense	05/18/2019	108AD	Looting or pillaging	05/18/2019	114C	Firearm discharge; endangering human life	05/18/2019	122AB1	Stolen property; receiving - more than \$1000	05/18/2019	122AB3	Stolen property; concealing - more than \$1000	05/18/2019	134P1	Gambling with subordinate
Offense Date	Article of UCMJ	Narrative of Offense																	
05/18/2019	108AD	Looting or pillaging																	
05/18/2019	114C	Firearm discharge; endangering human life																	
05/18/2019	122AB1	Stolen property; receiving - more than \$1000																	
05/18/2019	122AB3	Stolen property; concealing - more than \$1000																	
05/18/2019	134P1	Gambling with subordinate																	

Continued on next page

Approving Disciplinary Actions, Continued

Procedures,
continued

Step	Action
7	<p>Once all the information has been verified, enter any comments as appropriate. Click Approve or Deny.</p> <div data-bbox="312 461 1350 1393" style="border: 1px solid blue; padding: 10px;"> <p>Action Request</p> <p>Approve Disciplinary Action</p> <p><u>Jayne Cobb</u></p> <div style="border: 1px solid #ccc; padding: 5px; margin-bottom: 10px;"> <p>Request Details</p> <p>Reported Date: 2019-06-03 Disciplinary Type: F Disciplinary Step: INT Action Date: 2019-06-03</p> </div> <div style="border: 1px solid #ccc; padding: 5px; margin-bottom: 10px;"> <p>Request URL</p> <p>Click here to view additional request information.</p> </div> <p>Comment: Include any comments as appropriate.</p> <p style="text-align: center;"> Approve Deny </p> <div style="border: 1px solid #ccc; padding: 5px; margin-top: 10px;"> <p>▼ Request Status: Pending</p> <p>Approvers</p> <div style="border: 1px solid #ccc; padding: 5px; margin-bottom: 5px;"> <p>Pending</p> <p> Multiple Approvers CGHRSUP for User's SPO</p> </div> </div> </div>

Continued on next page

Approving Disciplinary Actions, Continued

Procedures,
continued

Step	Action
8	<p>The Request Status will update to Approved or Denied (depending on the selection in step 6).</p> <p>If approved and the punishments were executed, the system performs an integration with Job Data (reduction in paygrade) and Global Payroll/EABP (Fines or Forfeitures).</p> <p>If denied, the Disciplinary Action will be routed back to the submitter for further action/corrections.</p> <p>NOTE: Once the Disciplinary Action is approved and a reduction in paygrade is executed, the system will insert a Job row with an Action code of Demotion and a Reason code of Disciplinary Actions. Verify DA successfully updated the pay entitlements that correspond with the new jobcode (see the Understanding Job Data guide for more information on Job Data). If DA did not successfully insert a Job row and/or update the pay entitlements to reflect the new paygrade, submit a PPC Customer Care Ticket.</p> <div data-bbox="312 1010 1305 1384" style="border: 1px solid blue; padding: 5px;"> <p>Request Status: Approved View/Hide Comments</p> <p>Approvers</p> <div style="border: 1px solid green; padding: 2px; margin-bottom: 5px;"> <p style="background-color: #e0ffe0; display: inline-block; padding: 2px;">Approved</p> <p style="margin: 0;"> ✓ Inara Serra CGHRSUP for User's SPO 06/05/19 - 9:10 AM </p> </div> <p>Comments</p> <p>Inara Serra at 06/05/2019 - 9:10 AM Include any comments as appropriate.</p> </div>

Adding a Disciplinary Step (Affirm, Correction, Deletion, Modified, Vacation) to an Initial Disciplinary Action

Introduction This section provides the procedures for a SPO to add a Disciplinary Step to an Initial Disciplinary Action in DA.

Adding Multiple Steps of the Same Type to the Same Disciplinary Action More than one of the same disciplinary step types within the same Disciplinary Action may be entered, such as two modify disciplinary steps.

NOTE: A PPC Customer Care Ticket must be submitted to request a Disciplinary Action be removed from DA **ONLY** if the Disciplinary Action was entered incorrectly and will need to be resubmitted correctly with the **same Action Date**; otherwise, the Deletion disciplinary step discussed in the table below is required.

Disciplinary Steps Use the table below for guidance when adding a new Disciplinary Step.

Step	When to Use
Affirm (AFM)	<p>The Affirm disciplinary step is used for Courts-Martial only. It should be used when either of the following circumstances occur:</p> <ul style="list-style-type: none"> • The officer exercising General Courts-Martial jurisdiction or an Article 69 UCMJ examination approves the findings and sentence without modification. • The member’s findings and sentence are affirmed without modification and the conviction becomes final and conclusive after review by the U.S. Coast Guard Court of Criminal Appeals and, if applicable, the U.S. Court of Appeals for the Armed Forces and/or the U.S. Supreme Court. <p>An Affirm step documents the judicial findings only. This updates the HR side only; the system does not make any further changes to data elsewhere in DA.</p>
Correction (COR)	<p>The Correction disciplinary step is used when a transaction has been submitted with erroneous data or was incomplete.</p> <p>NOTE: Only one Correction can be made to a Disciplinary Action.</p>

Continued on next page

Adding a Disciplinary Step (Affirm, Correction, Deletion, Modified, Vacation) to an Initial Disciplinary Action, Continued


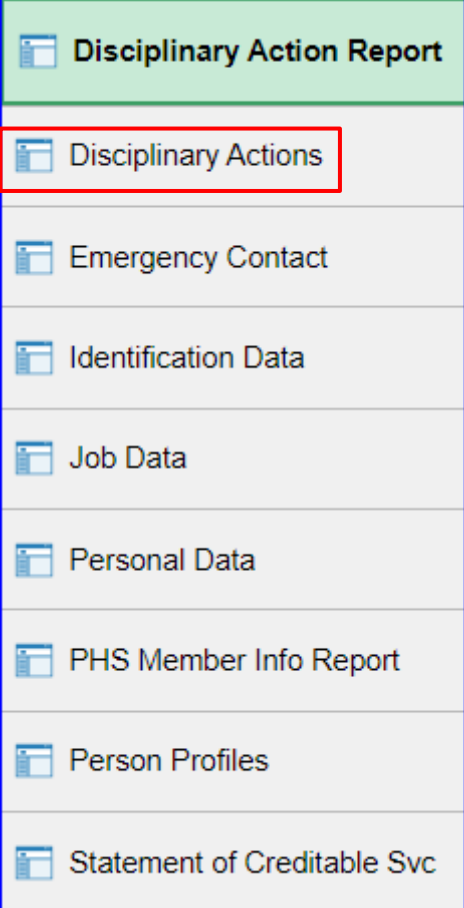
Disciplinary Steps, continued

Step	When to Use								
<p>Deletion (DEL)</p>	<p>The Deletion disciplinary step is used when a higher reviewing authority sets aside the entire conviction, or the transaction was erroneously submitted for the incorrect member (see Table below).</p> <p>A Deletion step may also be used if there has already been a Correction (COR) step submitted on the Disciplinary Action, but additional information may be needed or changed (remember, only one Correction may be made for a Disciplinary Action).</p> <table border="1" data-bbox="400 804 1420 1290"> <thead> <tr> <th data-bbox="400 804 911 846">If</th> <th data-bbox="911 804 1420 846">Then</th> </tr> </thead> <tbody> <tr> <td data-bbox="400 846 911 958">The Deletion is submitted due to an erroneous Action Date entered on the Initial disciplinary step,</td> <td data-bbox="911 846 1420 958">Submit a new Disciplinary Action with the correct Action Date, Report Date, and Charges</td> </tr> <tr> <td data-bbox="400 958 911 1178">The Deletion is submitted due to erroneous Charges and/or Report Date only,</td> <td data-bbox="911 958 1420 1178">After submitting the Deletion, submit a PPC Customer Care Ticket requesting the entire Disciplinary transaction be removed so it may be re-entered with the original Action Date</td> </tr> <tr> <td data-bbox="400 1178 911 1290">The Deletion is submitted because the Initial Disciplinary Action was entered for the incorrect member,</td> <td data-bbox="911 1178 1420 1290">Submit a new Disciplinary Action for the correct member</td> </tr> </tbody> </table>	If	Then	The Deletion is submitted due to an erroneous Action Date entered on the Initial disciplinary step,	Submit a new Disciplinary Action with the correct Action Date, Report Date, and Charges	The Deletion is submitted due to erroneous Charges and/or Report Date only ,	After submitting the Deletion, submit a PPC Customer Care Ticket requesting the entire Disciplinary transaction be removed so it may be re-entered with the original Action Date	The Deletion is submitted because the Initial Disciplinary Action was entered for the incorrect member,	Submit a new Disciplinary Action for the correct member
If	Then								
The Deletion is submitted due to an erroneous Action Date entered on the Initial disciplinary step,	Submit a new Disciplinary Action with the correct Action Date, Report Date, and Charges								
The Deletion is submitted due to erroneous Charges and/or Report Date only ,	After submitting the Deletion, submit a PPC Customer Care Ticket requesting the entire Disciplinary transaction be removed so it may be re-entered with the original Action Date								
The Deletion is submitted because the Initial Disciplinary Action was entered for the incorrect member,	Submit a new Disciplinary Action for the correct member								
<p>Initial (INT)</p>	<p>The Initial disciplinary step is the first time submission of a Disciplinary Action.</p>								
<p>Modified (MOD)</p>	<p>The Modified disciplinary step is used when a superior officer acts favorably on an appeal and authorizes a change to the punishment originally awarded. Punishment modifications can include a remission of the remaining punishment, a reduction in the severity of the punishment through mitigation, or the punishment is set aside in whole or in part.</p>								
<p>Vacation (VAC)</p>	<p>The Vacation disciplinary step is used when a previous punishment was suspended and now is to be executed.</p>								

Continued on next page

Adding a Disciplinary Step (Affirm, Correction, Deletion, Modified, Vacation) to an Initial Disciplinary Action, Continued

Procedures See below.

Step	Action
1	<p>Click on the Core HR Tile.</p> 
2	<p>Select the Disciplinary Actions option.</p> 

Continued on next page

Adding a Disciplinary Step (Affirm, Correction, Deletion, Modified, Vacation) to an Initial Disciplinary Action, Continued

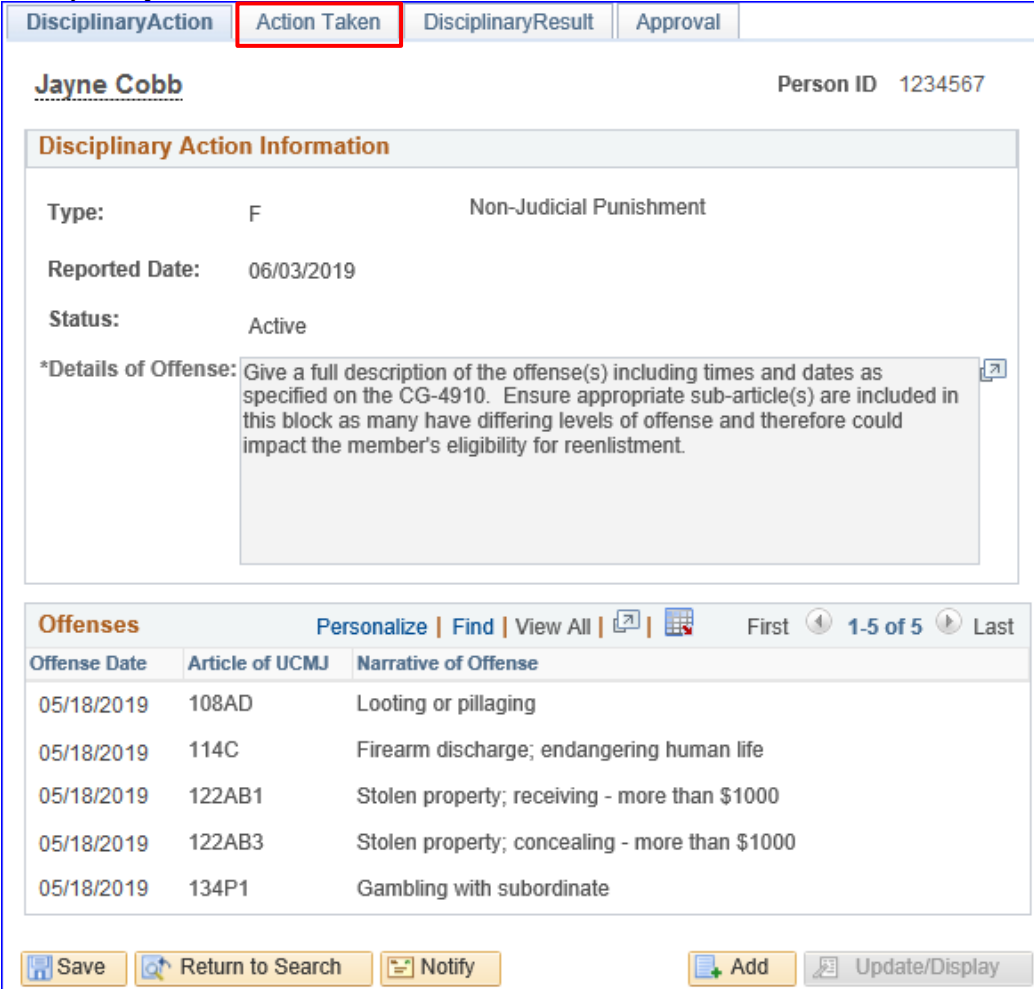
Procedures,
Continued

Step	Action
3	<p>Enter the member's Empl ID and click Search.</p> <p>NOTE: If member has only one Disciplinary Action, the Action Request will automatically open. If the member has more than one Disciplinary Action, select the appropriate request from the Search Results.</p> <div style="border: 1px solid blue; padding: 10px;"> <p>Disciplinary Action</p> <p>Enter any information you have and click Search. Leave fields blank for a list of all values.</p> <div style="display: flex; justify-content: space-between; margin-bottom: 10px;"> Find an Existing Value Add a New Value </div> <p>▼ Search Criteria</p> <p>Empl ID = <input style="border: 1px solid red; width: 150px;" type="text" value="1234567"/> <input type="button" value="Q"/></p> <p>Reported Date = <input type="text"/> <input type="button" value="Calendar"/></p> <p>Disciplinary Type begins with <input type="text"/> <input type="button" value="Q"/></p> <p>Name begins with <input type="text"/></p> <p>Last Name begins with <input type="text"/></p> <p>First Name begins with <input type="text"/></p> <p><input type="checkbox"/> Case Sensitive</p> <div style="display: flex; justify-content: space-between; margin-top: 10px;"> Search Clear Basic Search <input type="button" value="Q"/> Save Search Criteria </div> <p style="font-size: small; margin-top: 5px;">Find an Existing Value Add a New Value</p> </div>

Continued on next page

Adding a Disciplinary Step (Affirm, Correction, Deletion, Modified, Vacation) to an Initial Disciplinary Action, Continued

Procedures,
continued

Step	Action																		
4	<p>The Disciplinary Action page will display. Changes cannot be made to the DisciplinaryAction tab. Select the Action Taken tab.</p>  <p>DisciplinaryAction Action Taken DisciplinaryResult Approval</p> <p>Jayne Cobb Person ID 1234567</p> <p>Disciplinary Action Information</p> <p>Type: F Non-Judicial Punishment</p> <p>Reported Date: 06/03/2019</p> <p>Status: Active</p> <p>*Details of Offense: Give a full description of the offense(s) including times and dates as specified on the CG-4910. Ensure appropriate sub-article(s) are included in this block as many have differing levels of offense and therefore could impact the member's eligibility for reenlistment.</p> <p>Offenses Personalize Find View All First 1-5 of 5 Last</p> <table border="1"> <thead> <tr> <th>Offense Date</th> <th>Article of UCMJ</th> <th>Narrative of Offense</th> </tr> </thead> <tbody> <tr> <td>05/18/2019</td> <td>108AD</td> <td>Looting or pillaging</td> </tr> <tr> <td>05/18/2019</td> <td>114C</td> <td>Firearm discharge; endangering human life</td> </tr> <tr> <td>05/18/2019</td> <td>122AB1</td> <td>Stolen property; receiving - more than \$1000</td> </tr> <tr> <td>05/18/2019</td> <td>122AB3</td> <td>Stolen property; concealing - more than \$1000</td> </tr> <tr> <td>05/18/2019</td> <td>134P1</td> <td>Gambling with subordinate</td> </tr> </tbody> </table> <p>Save Return to Search Notify Add Update/Display</p>	Offense Date	Article of UCMJ	Narrative of Offense	05/18/2019	108AD	Looting or pillaging	05/18/2019	114C	Firearm discharge; endangering human life	05/18/2019	122AB1	Stolen property; receiving - more than \$1000	05/18/2019	122AB3	Stolen property; concealing - more than \$1000	05/18/2019	134P1	Gambling with subordinate
Offense Date	Article of UCMJ	Narrative of Offense																	
05/18/2019	108AD	Looting or pillaging																	
05/18/2019	114C	Firearm discharge; endangering human life																	
05/18/2019	122AB1	Stolen property; receiving - more than \$1000																	
05/18/2019	122AB3	Stolen property; concealing - more than \$1000																	
05/18/2019	134P1	Gambling with subordinate																	

Continued on next page

Adding a Disciplinary Step (Affirm, Correction, Deletion, Modified, Vacation) to an Initial Disciplinary Action, Continued

Procedures,
continued

Step	Action
5	<p>In the Action Taken section, click the (+) button to add a new Disciplinary Step.</p> <div style="border: 1px solid #ccc; padding: 5px;"> <div style="border-bottom: 1px solid #ccc; margin-bottom: 5px;"> DisciplinaryAction Action Taken DisciplinaryResult Approval </div> <div style="margin-bottom: 5px;"> <p>Jayne Cobb Person ID 1234567</p> </div> <div style="border: 1px solid #ccc; padding: 2px; margin-bottom: 5px;"> <p>Disciplinary Action Information</p> <p>Disciplinary Type: F Non-Judicial Punishment</p> <p>Reported Date: 06/03/2019</p> </div> <div style="border: 1px solid #ccc; padding: 2px; margin-bottom: 5px;"> <p>Action Taken Find View All First ◀ 1 of 1 ▶ Last</p> </div> <div style="margin-bottom: 5px;"> <p>*Disciplinary Step: <input type="text" value="INT"/> Initial +</p> </div> <div style="margin-bottom: 5px;"> <p>*Action Date: <input type="text" value="06/03/2019"/> Approval Status: Approved</p> </div> <div style="border: 1px solid #ccc; padding: 5px; margin-bottom: 5px;"> <p>Comments: ?</p> <p>Provide a complete description of the punishment awarded. If the punishment was suspended, provide the complete terms of the suspension. If there are multiple suspensions, each needs to be detailed.</p> <p>** ENSURE THE COMMENTS ARE DETAILED ** If later doing a vacation of the suspension and the length of the suspension is not entered, it becomes a legal issue.</p> </div> <div style="display: flex; justify-content: space-between; margin-bottom: 5px;"> <div style="width: 45%;"> <p>Months Punishment Suspended: <input type="text"/></p> <p>Pay Grade of Officer Conducting Mast: <input type="text" value="O4"/> ▼</p> <p>Initial Court/Mast Date: 06/03/2019</p> </div> <div style="width: 45%;"> <p><input type="checkbox"/> Advised to Right to Counsel</p> <p><input type="checkbox"/> Represented by Counsel</p> <p><input checked="" type="checkbox"/> Waived Right to Mast Rep</p> <p><input checked="" type="checkbox"/> Reprimand/Admonition Letter</p> <p><input type="checkbox"/> Punitive Discharge Awarded</p> </div> </div> <div style="display: flex; justify-content: space-between; margin-top: 5px;"> <input type="button" value="Save"/> <input type="button" value="Return to Search"/> <input type="button" value="Notify"/> <input type="button" value="Add"/> <input type="button" value="Update/Display"/> </div> </div>

Continued on next page

Adding a Disciplinary Step (Affirm, Correction, Deletion, Modified, Vacation) to an Initial Disciplinary Action, Continued

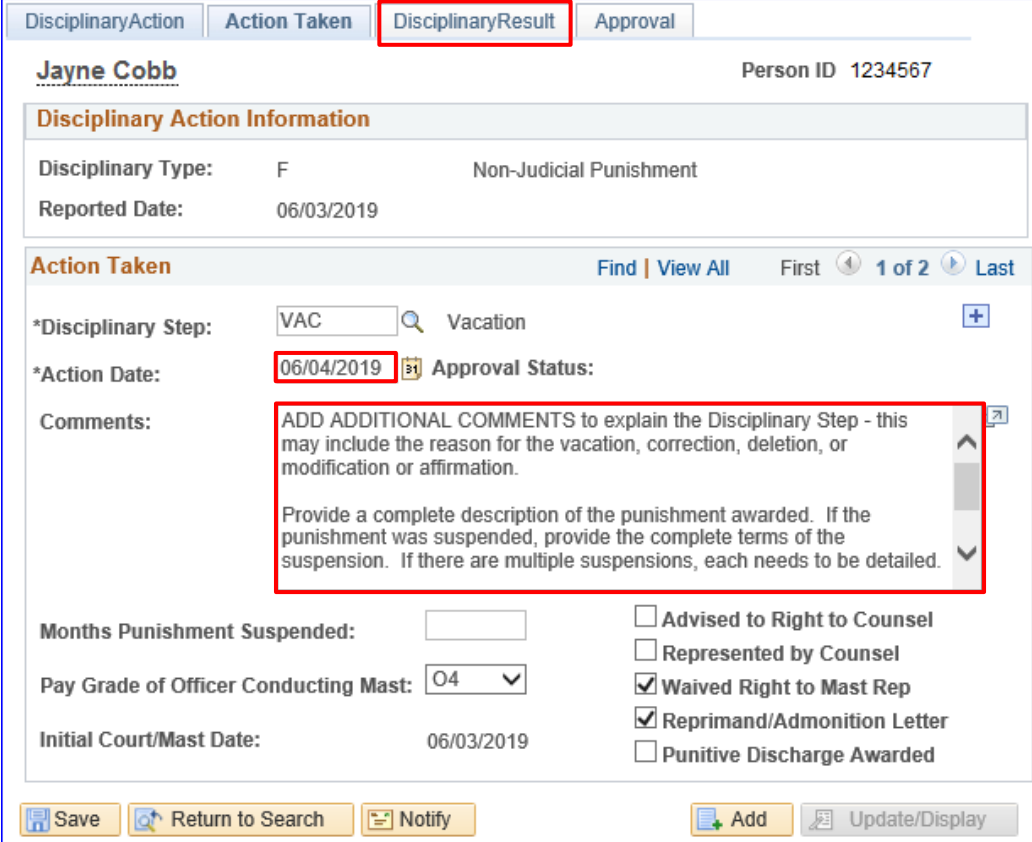
Procedures,
continued

Step	Action														
6	<p>Information from the Initial Step will auto-populate the new Disciplinary Step. Using the lookup, select the appropriate Disciplinary Step. Only make changes to the fields that are relevant to the new Disciplinary Step (VAC used for example purposes).</p> <div data-bbox="311 548 1316 1355"> <p>DisciplinaryAction Action Taken DisciplinaryResult Approval</p> <p>Jayne Cobb Person ID 1234567</p> <p>Disciplinary Action Information</p> <p>Disciplinary Type: F Non-Judicial Punishment Reported Date: 06/03/2019</p> <p>Action Taken Find View All First 1 of 2 Last</p> <p>*Disciplinary Step: <input type="text"/></p> <p>*Action Date: 06/04/2019 Approval Status:</p> <p>Comments: <div style="border: 1px solid gray; padding: 5px;">Provide a complete description of the punishment awarded. If the punishment was suspended, provide the complete terms of the suspension. If there are multiple suspensions, each needs to be detailed. ** ENSURE THE COMMENTS ARE DETAILED ** If later doing a vacation of the suspension and the length of the suspension is not entered, it becomes a legal issue.</div></p> <p>Months Punishment Suspended: <input type="text"/> <input type="checkbox"/> Advised to Right to Counsel Pay Grade of Officer Conducting Mast: O4 <input type="checkbox"/> Represented by Counsel Initial Court/Mast Date: 06/03/2019 <input checked="" type="checkbox"/> Waived Right to Mast Rep <input checked="" type="checkbox"/> Reprimand/Admonition Letter <input type="checkbox"/> Punitive Discharge Awarded</p> <p><input type="button" value="Save"/> <input type="button" value="Return to Search"/> <input type="button" value="Notify"/> <input type="button" value="Add"/> <input type="button" value="Update/Display"/></p> </div> <div data-bbox="311 1377 758 1736"> <p>Search Results</p> <p>View 100 First 1-8 of 6 Last</p> <table border="1"> <thead> <tr> <th>Disciplinary Step</th> <th>Description</th> </tr> </thead> <tbody> <tr><td>AFM</td><td>Affirm</td></tr> <tr><td>COR</td><td>Correction</td></tr> <tr><td>DEL</td><td>Deletion</td></tr> <tr><td>INT</td><td>Initial</td></tr> <tr><td>MOD</td><td>Modified</td></tr> <tr><td>VAC</td><td>Vacation</td></tr> </tbody> </table> </div>	Disciplinary Step	Description	AFM	Affirm	COR	Correction	DEL	Deletion	INT	Initial	MOD	Modified	VAC	Vacation
Disciplinary Step	Description														
AFM	Affirm														
COR	Correction														
DEL	Deletion														
INT	Initial														
MOD	Modified														
VAC	Vacation														

Continued on next page

Adding a Disciplinary Step (Affirm, Correction, Deletion, Modified, Vacation) to an Initial Disciplinary Action, Continued

Procedures,
continued

Step	Action
7	<p>Enter the Action Date. The type of Disciplinary Step will determine the Action Date to be entered:</p> <ul style="list-style-type: none"> • Affirmation: enter the date the punishment was affirmed • Correction: enter the date of the Initial disciplinary step (this date should remain the same as the Initial step because you are correcting an error in the data of the original punishment or adding additional information) • Deletion: enter the date of the Initial disciplinary step (this date should remain the same as the Initial step because you are deleting that specific Disciplinary event) (see Disciplinary Steps Table for additional guidance on the Deletion disciplinary step) • Modified: enter the date of the modification • Vacation: enter the date of the vacation <p>Enter any additional Comments appropriate to the Disciplinary Step selected. Click the DisciplinaryResult tab.</p> 

Continued on next page

Adding a Disciplinary Step (Affirm, Correction, Deletion, Modified, Vacation) to an Initial Disciplinary Action, Continued

Procedures,
continued

Step	Action
8	<p>In this example, a Vacation of the previous suspended punishment is being processed.</p> <div style="border: 1px solid black; padding: 5px;"> <div style="display: flex; justify-content: space-between; border-bottom: 1px solid black; margin-bottom: 5px;"> DisciplinaryAction Action Taken DisciplinaryResult Approval </div> <p>Jayne Cobb Person ID 1234567</p> <div style="border: 1px solid gray; padding: 2px; margin-bottom: 5px;"> <p>Disciplinary Action Information</p> <p>Disciplinary Type: F Non-Judicial Punishment</p> <p>Reported Date: 06/03/2019</p> </div> <div style="border: 1px solid gray; padding: 2px; margin-bottom: 5px;"> <p>Action Taken Find View All First 1 of 2 Last</p> <p style="border: 2px solid red; padding: 2px;">Disciplinary Step: VAC Vacation</p> <p>Action Date: 06/04/2019 Approval Status:</p> <div style="border: 1px solid gray; padding: 2px; margin-bottom: 5px;"> <p>Punishment</p> <p>Number of Days Restricted: <input type="text" value="14"/> Days Confinement at Hard Labor: <input type="text"/></p> <p>Days Arrest in Quarters: <input type="text"/> Days of Hard Labor WO Confinement: <input type="text"/></p> <p>Number of Days Extra Duty: <input type="text" value="30"/></p> </div> <div style="border: 1px solid gray; padding: 2px; margin-bottom: 5px;"> <p>Fines</p> <p>Total Fine Amount: <input type="text"/> <input type="checkbox"/> Execute this Fine Begin Date: <input type="text" value="31"/></p> </div> <div style="border: 1px solid gray; padding: 2px; margin-bottom: 5px;"> <p>Forfeitures</p> <p>Forfeiture Per Month: <input type="text" value="500"/> <input type="checkbox"/> Automatic Forfeiture <input type="checkbox"/> Forfeit All Pay and Allow</p> <p>Forfeiture Months: <input type="text" value="3"/> <input type="checkbox"/> Adjudged Forfeiture <input type="checkbox"/> Waive & Pay to Dependents</p> <p>Total Forfeiture Amount: 1500 <input type="checkbox"/> Execute this Forfeiture Begin Date: <input type="text" value="31"/></p> </div> <div style="border: 1px solid gray; padding: 2px; margin-bottom: 5px;"> <p>Rate Adjustment</p> <p>Current Jobcode: 420093 First Class Machinery Technici</p> <p>Changed Jobcode: <input type="text" value="420094"/> Second Class Machinery Technic</p> <p><input type="checkbox"/> Execute this Rate Adjustment Begin Date: <input type="text" value="31"/></p> </div> <div style="display: flex; justify-content: space-between; margin-top: 5px;"> Save Return to Search Notify Add Update/Display </div> </div> </div>

Continued on next page

Adding a Disciplinary Step (Affirm, Correction, Deletion, Modified, Vacation) to an Initial Disciplinary Action, Continued

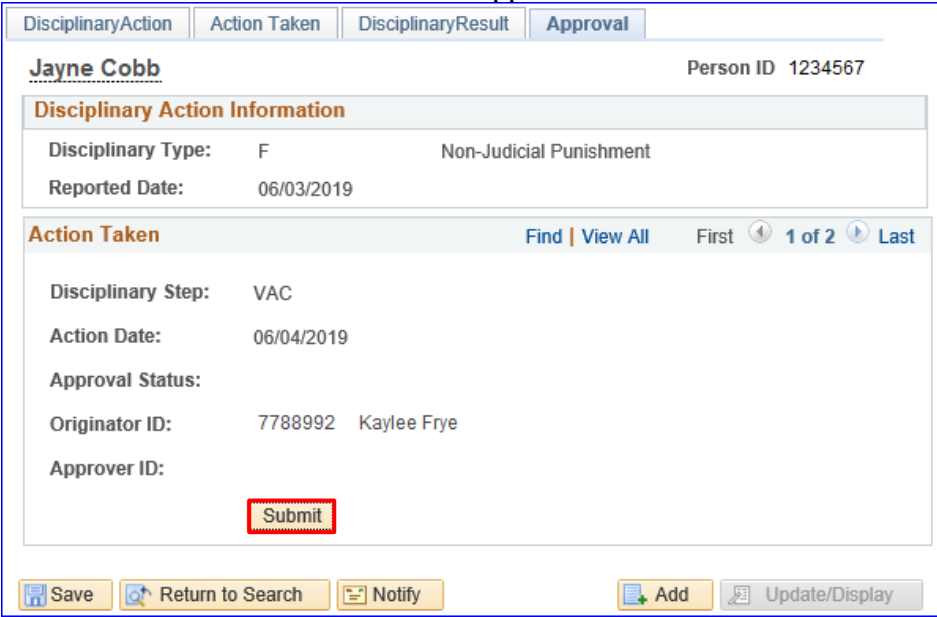
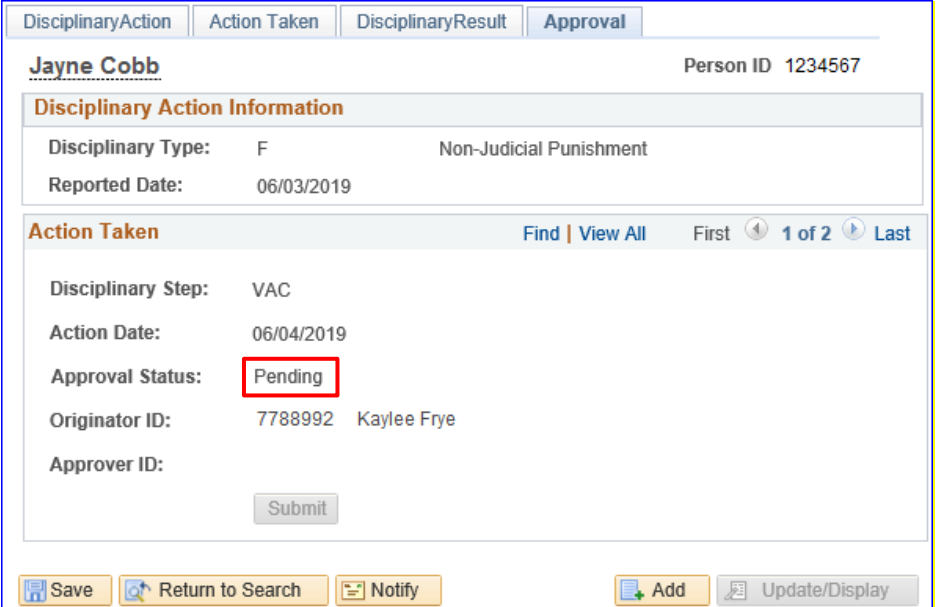
Procedures,
continued

Step	Action
9	<p>To vacate a previous suspension, click the Execute this Forfeiture check box and/or Execute this Rate Adjustment as appropriate. The Begin Dates will auto-populate with the Action Date. Click the Approval tab.</p> <div style="border: 1px solid black; padding: 5px;"> <div style="display: flex; border-bottom: 1px solid black; margin-bottom: 5px;"> DisciplinaryAction Action Taken DisciplinaryResult Approval </div> <p>Jayne Cobb Person ID 1234567</p> <div style="border: 1px solid black; padding: 2px; margin-bottom: 5px;"> <p>Disciplinary Action Information</p> <p>Disciplinary Type: F Non-Judicial Punishment</p> <p>Reported Date: 06/03/2019</p> </div> <div style="border: 1px solid black; padding: 2px; margin-bottom: 5px;"> <p>Action Taken Find View All First 1 of 2 Last</p> <p>Disciplinary Step: VAC Vacation</p> <p>Action Date: 06/04/2019 Approval Status:</p> <div style="border: 1px solid black; padding: 2px; margin-bottom: 5px;"> <p>▼ Punishment</p> <p>Number of Days Restricted: <input type="text" value="14"/> Days Confinement at Hard Labor: <input type="text"/></p> <p>Days Arrest in Quarters: <input type="text"/> Days of Hard Labor WO Confinement: <input type="text"/></p> <p>Number of Days Extra Duty: <input type="text" value="30"/></p> </div> <div style="border: 1px solid black; padding: 2px; margin-bottom: 5px;"> <p>▼ Fines</p> <p>Total Fine Amount: <input type="text"/> <input type="checkbox"/> Execute this Fine Begin Date: <input type="text"/></p> </div> <div style="border: 1px solid black; padding: 2px; margin-bottom: 5px;"> <p>▼ Forfeitures</p> <p>Forfeiture Per Month: <input type="text" value="500"/> <input type="checkbox"/> Automatic Forfeiture <input type="checkbox"/> Forfeit All Pay and Allow</p> <p>Forfeiture Months: <input type="text" value="3"/> <input type="checkbox"/> Adjudged Forfeiture <input type="checkbox"/> Waive & Pay to Dependents</p> <p>Total Forfeiture Amount: 1500 <input checked="" type="checkbox"/> Execute this Forfeiture Begin Date: 06/04/2019</p> </div> <div style="border: 1px solid black; padding: 2px;"> <p>▼ Rate Adjustment</p> <p>Current Jobcode: 420093 First Class Machinery Technici</p> <p>Changed Jobcode: <input type="text" value="420094"/> Second Class Machinery Technic</p> <p><input checked="" type="checkbox"/> Execute this Rate Adjustment Begin Date: 06/04/2019</p> </div> <div style="display: flex; justify-content: space-between; margin-top: 5px;"> Save Return to Search Notify Add Update/Display </div> </div> </div>

Continued on next page

Adding a Disciplinary Step (Affirm, Correction, Deletion, Modified, Vacation) to an Initial Disciplinary Action, Continued

Procedures,
continued

Step	Action
<p>10</p>	<p>Ensure the information listed on the Approval tab is correct. Click Submit.</p>  <p>The screenshot shows the 'Approval' tab for a disciplinary action. At the top, there are tabs for 'DisciplinaryAction', 'Action Taken', 'DisciplinaryResult', and 'Approval'. Below the tabs, the user's name 'Jayne Cobb' and 'Person ID 1234567' are displayed. A section titled 'Disciplinary Action Information' contains the following details: 'Disciplinary Type: F Non-Judicial Punishment' and 'Reported Date: 06/03/2019'. Below this is the 'Action Taken' section, which includes: 'Disciplinary Step: VAC', 'Action Date: 06/04/2019', 'Approval Status: [blank]', 'Originator ID: 7788992 Kaylee Frye', and 'Approver ID: [blank]'. A red box highlights the 'Submit' button at the bottom of the form. At the very bottom of the screenshot, there are buttons for 'Save', 'Return to Search', 'Notify', 'Add', and 'Update/Display'.</p>
<p>11</p>	<p>The Disciplinary Action is now Pending and will be routed to the SPO tree for approval.</p>  <p>This screenshot is identical to the previous one, but the 'Approval Status' is now 'Pending', which is highlighted with a red box. The 'Submit' button is now disabled (greyed out).</p>


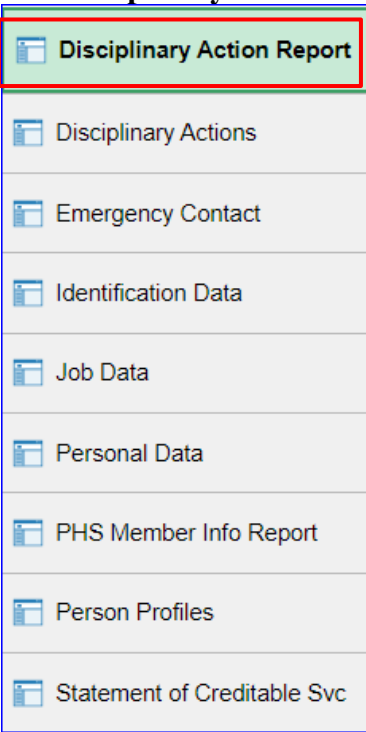
Disciplinary Action Report (Court Memorandum)

Introduction This section provides the procedures for a SPO to view and/or print the Disciplinary Action Report in DA.

Before you Begin The following information is required to run the report:

- Empl ID
- Reported Date
- Disciplinary Type
- Disciplinary Step(s)

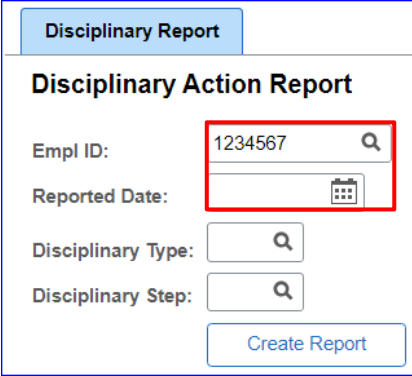
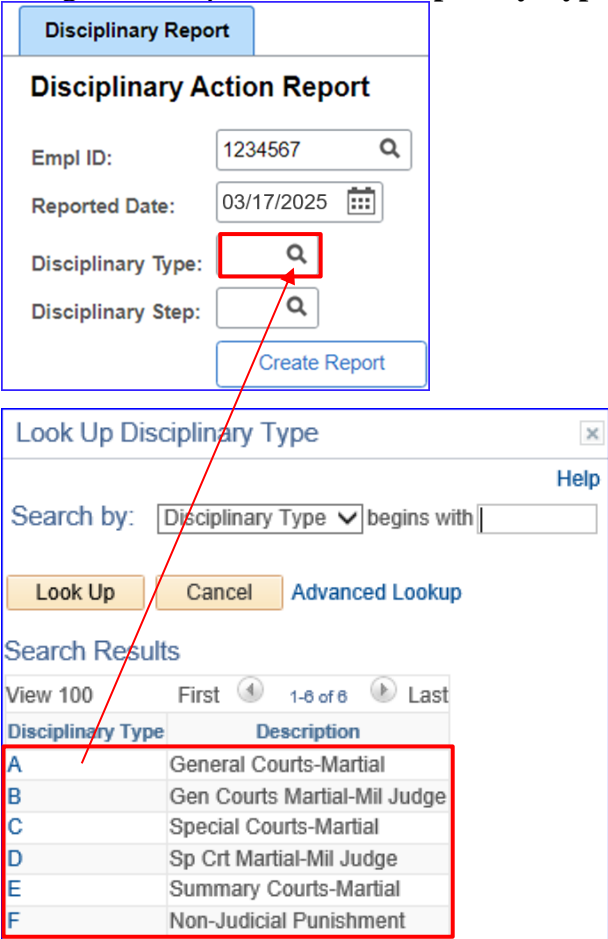
Procedures See below.

Step	Action
1	<p>Click on the Core HR Tile.</p> 
2	<p>The Disciplinary Action Report option will automatically display.</p> 

Continued on next page

Disciplinary Action Report (Court Memorandum), Continued

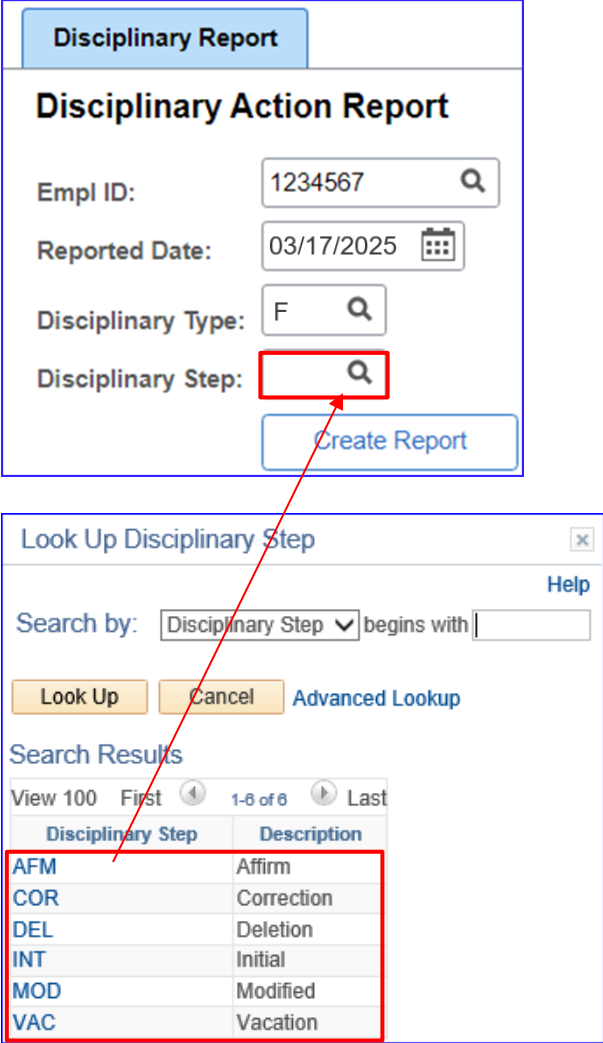
Procedures,
continued

Step	Action
3	<p>The Disciplinary Action Report page will display. Enter the member's Empl ID and the Reported Date of the Disciplinary Action.</p> 
4	<p>Using the lookup, select the Disciplinary Type.</p> 

Continued on next page

Disciplinary Action Report (Court Memorandum), Continued

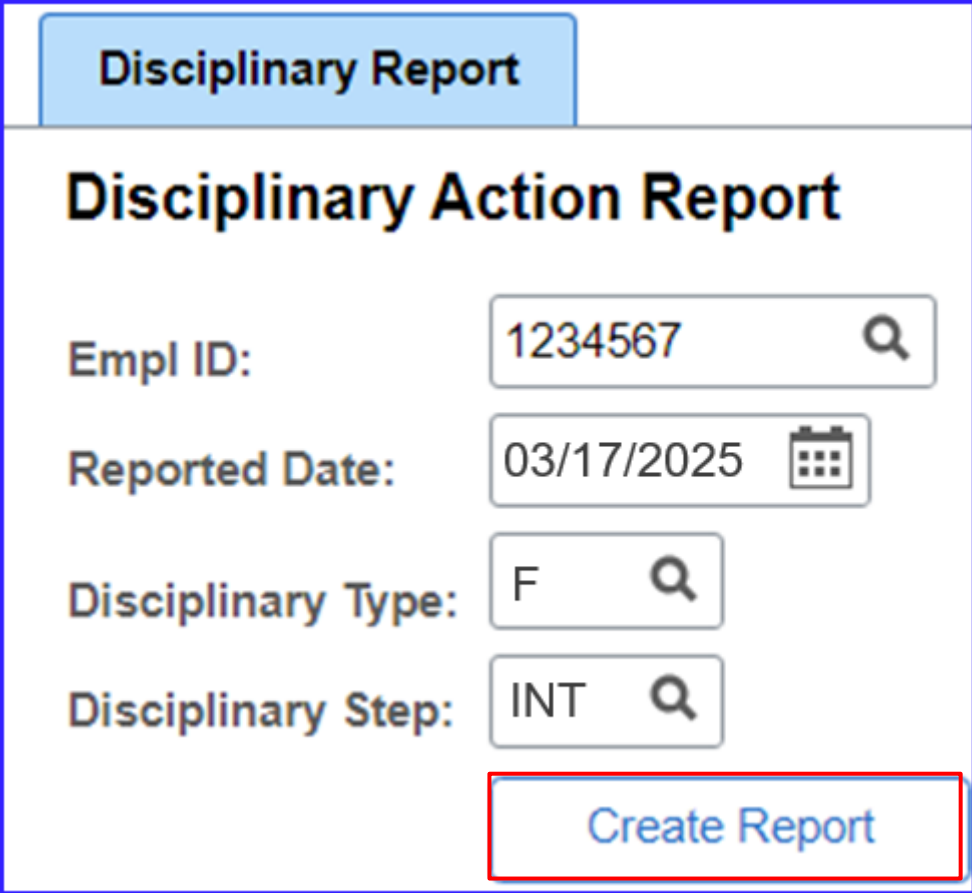
Procedures,
continued

Step	Action														
5	<p>Using the lookup, select the Disciplinary Step.</p> <p>NOTE: If there is more than one step on a Disciplinary Action, a report will need to be run for each step (e.g., If the Disciplinary Action required a correction and a vacation, the report will need to be run for the initial, correction, and vacation disciplinary steps).</p>  <p>The screenshot shows the 'Disciplinary Action Report' form with the following fields:</p> <ul style="list-style-type: none"> Empl ID: 1234567 Reported Date: 03/17/2025 Disciplinary Type: F Disciplinary Step: (highlighted with a red box) <p>The 'Look Up Disciplinary Step' window shows the following search results:</p> <table border="1"> <thead> <tr> <th>Disciplinary Step</th> <th>Description</th> </tr> </thead> <tbody> <tr> <td>AFM</td> <td>Affirm</td> </tr> <tr> <td>COR</td> <td>Correction</td> </tr> <tr> <td>DEL</td> <td>Deletion</td> </tr> <tr> <td>INT</td> <td>Initial</td> </tr> <tr> <td>MOD</td> <td>Modified</td> </tr> <tr> <td>VAC</td> <td>Vacation</td> </tr> </tbody> </table>	Disciplinary Step	Description	AFM	Affirm	COR	Correction	DEL	Deletion	INT	Initial	MOD	Modified	VAC	Vacation
Disciplinary Step	Description														
AFM	Affirm														
COR	Correction														
DEL	Deletion														
INT	Initial														
MOD	Modified														
VAC	Vacation														

Continued on next page

Disciplinary Action Report (Court Memorandum), Continued

Procedures,
continued

Step	Action
6	<p>When all fields have been populated, click Create Report.</p> 

Continued on next page

Disciplinary Action Effects in Global Pay

Introduction The chart below describes the effects that each Disciplinary Step has on Job data and EABP.

<u>Step</u>	<u>HR Entry in Discip Action</u>	<u>Rate Adjustment: Integration to JOB</u>	<u>Process: Integration with Pay</u>
INT - Initial	Create the Disciplinary Step 'INT' on the Action Taken page. Submit and approve the Disciplinary Action.	If a Changed Job Code/Begin Date is entered and executed, the system inserts a new JOB row with an Action/Reason of DEM/DAC. The Eff Dt = Begin Date.	FINE: EABP row created with FINE element details, to collect monthly. FORFEITURE: EABP row created with FORF element details, to collect monthly.
AFM - Affirm	When an AFM step is added to the Action Taken page and is submitted and approved, it only effects HR.	There are no changes to JOB. Any rate adjustment to Job Code imposed on the Initial step is already in effect in JOB.	Any fines/forfeitures imposed on the Initial step are already in effect.
COR - Correction	When a COR step is added to the Action Taken page, only update the incorrect data. Then submit for approval.	A COR only records any updates to Rate Adjustment/Job Code in Disciplinary Action, but there is no integration to the JOB row. A trouble ticket must be submitted to PPC so they can manually update the Job row.	FINE: There is no integration to EABP for a Correction. A trouble ticket must be submitted to PPC so they can manually update the EABP row. FORFEITURE: For a COR, the system sets the initial EABP row to 'Inactive.' Then the system creates a new, Active EABP row with updated information from the COR step.

Continued on next page

Disciplinary Action Effects in Global Pay, Continued

<u>Step</u>	<u>HR Entry in Discip Action</u>	<u>Rate Adjustment: Integration to JOB</u>	<u>Process: Integration with Pay</u>
DEL - Delete	When a DEL step is added to the Action Taken page, it must be submitted for approval.	There is no integration to JOB. A trouble ticket must be submitted to PPC so they can manually update the Job row.	FINE or FORFEITURE: For a DEL, the system sets the initial EABP row to 'Inactive.'
MOD - Modification	When a MOD step is added to the Action Taken page, the punishment data must be updated and submitted for approval.	If MOD results in a re-promote to a higher rate, enter the new Job Code in Disciplinary Action. There is no integration to JOB. A trouble ticket must be submitted to PPC so they can manually update the Job row.	There is no integration to EABP for a MOD. A trouble ticket must be submitted to PPC so they can manually update the EABP row.
VAC - Vacation	When a VAC step is added to the Action Taken page, review the punishment data which will now be imposed. Submit for approval.	If a Rate Adjustment will be applied with the VAC, the system inserts a new JOB row with an Action/Reason of DEM/DAC. The Eff Dt = Begin Date.	FINE: EABP row created with FINE element details, to collect monthly. FORFEITURE: EABP row created with FORF element details, to collect monthly.
